

# Lecture 19 - Totally real fields, base change, and JL

- Previously • Minimal modularity lifting as a consequence of an  $R \cong \Pi$  theorem
- Non-minimal modularity lifting as a consequence of an  $R^{\text{red}} \cong \Pi$  theorem provided we can show  $M_{\lambda}$  has full support over

$$\text{Spec } R_{\lambda,0} = \text{Spec} \left( \hat{\otimes}_{V \in S} R_V \right) \llbracket x_1, \dots, x_g \rrbracket$$

$\uparrow$  local lifting rings

This week we'll show how to do this in some cases and sketch the proof of

Thm Let  $F$  be a totally real field and let  $p \geq 5$  be a prime unramified in  $F$ . Let

$$\rho: G_F \rightarrow GL_2(\overline{\mathbb{Q}}_p)$$

be a  $\rho$  as usual rep satisfying the following.

1.  $\rho$  is unramified outside fin many primes
2.  $\forall v|p$ ,  $\rho|_{G_{F_v}}$  is crystalline with all labelled HT wts  $= \{0, 1\}$
3.  $\bar{\rho}|_{G_{F(\mu_p)}}$  is (abs) unram with adequate image.
4.  $\bar{\rho} \cong \bar{\rho}_g$  for  $g$  a Hilbert modular cuspsform of parallel wt 2 and level prime to  $p$ .

Thm  $\rho \cong \rho_g$  for  $g$  a Hilbert modular cuspsform (of parallel wt 2).

Remark Note, no assumptions on the semisimplification of  $\rho$  or level of  $g$  at  $v|p$ .

We assume that we have a fixed iso  $\mathbb{C} \cong \overline{\mathbb{Q}}_p$  in above and what follows.

Using cyclic base change (Sato, Shimura), we have

Thm Let  $L/F$  be a totally real solvable Galois ext. Let  $\rho$  and  $\rho'$  be as above.

1. If  $\rho|_{G_L}$  is irred, then  $\exists$  a Hilbert modular cusp form  $h$  over  $L$  such that  $h$  is the base change of  $\rho'$ . In particular

$$\rho_h \cong \rho|_{G_L}$$

2. If  $\rho|_{G_L} \cong \rho_h$  for a Hilbert modular cusp form  $h$  over  $L$ , then  $\rho \cong \rho_f$  for a Hilbert modular cusp form  $f$  over  $F$ .

Lemma Let  $K$  be a number field and let  $S$  be a finite set of places of  $K$ . For each  $v \in S$ , let  $K'_v/K_v$  be a finite ext. Then  $\exists$  a finite solvable Galois ext  $L/K$  such that  $\forall w \in S$ ,  $L_w \cong K'_w$  as  $K_w$ -algs.

Sketch It suffices to prove the lemma with  $L$  given by a sequence of cyclic extensions, replacing it by its Galois closure if necessary. By induction, we are then reduced to the cyclic case, which is an application of the Grunwald-Wang Theorem.  $\square$

Let  $S_p = \{v | p \text{ in } F\}$ ,  $S_\infty = \{v | \infty \text{ in } F\}$

Let  $\Sigma$  be the set of finite places of  $F$  containing all of which  $\rho$  or  $g$  is ramified. Note that  $\Sigma \cap S_p = \emptyset$  by assumption.

Let  $M/F(\zeta_p)$  be the extension cut out by  $\bar{\rho} | G_{F(\zeta_p)}$ .  
 The  $M/F$  is finite Galois, so we can find a finite set  $V$  of finite places of  $F$  such that any non-trivial conjug class in  $\text{Gal}(M/F)$  is Frobenius for some  $v \in V$  and s.t.  $V \cap (\Sigma \cup S_p) = \emptyset$ .

We apply the lemma with  $K = F$ ,

$$S = S_p \cup S_{\infty} \cup \Sigma \cup V$$

and (a)  $v \in S_p$ ,  $K'_v = F_v$

(b)  $v \in S_{\infty}$ ,  $K'_v = F_v \cong \mathbb{R}$

(c)  $v \in \Sigma$ ,  $K'_v/F_v$  s.t.  $\bar{\rho} | G_{K'_v}$  is either unramified or unipotently ramified and similarly for  $\bar{\rho}_g$ , and  $\bar{\rho} | G_{K'_v}$  is trivial.  
 We assume moreover that the residue field of  $K'_v$  has cardinality  $\equiv 1 \pmod{p}$ . (Will explain why next time)

(d)  $v \in V$ ,  $K'_v = F_v$ .

Then we have  $L/F$  solvable Galois s.t.

(a) each  $v|p$  in  $F$  splits completely in  $L$ , in part  $\rho$  is unramified in  $L$ .

(b)  $L/F$  is totally real

(c) If  $\bar{\rho} | G_L$  is ramified at  $w$ , the ramification is unipotent.

And if  $g$  is ramified at  $w$ ,  $g$  has Frobenius (s.t.)

The residue field at any such  $w$  has cardinality  $q_w \equiv 1 \pmod{p}$ .

Moreover  $[L:F]$  is even.

(d)  $L \cap M = F$ , so  $\bar{\rho} | G_{L(\zeta_p)}$  is observed with adequate images.

Applying the base change Thm and replacing  $F$  with  $L$ , we can assume that  $\forall v \in \Sigma$ ,

- $\rho(\Gamma_v)$  is unipotent (may be trivial)
- $\rho$  has Iwahori or full level at  $v$
- $\checkmark \text{Nm}(v) \equiv 1 \pmod{p}$
- $\bar{\rho}|_{G_{F_v}} = 1$

In particular,  $\det \rho$  and  $\det \rho_g$  are both finite unramified chars times  $\epsilon^{-1}$ . Twisting, we can assume that  $\det \rho = \det \rho_g = \eta \epsilon^{-1}$  with  $\eta$  finite order and unramified.

Finally replacing  $F$  by a quad ext, disjoint from  $M(\mathbb{Z}_p)/F$  and in which  $p$  is unramified, we can assume that  $[F:\mathbb{Q}]$  is even.

We now let  $D$  be the (unique up to iso) quaternion algebra over  $F$  s.t.

- $\forall v \in \Sigma, D \otimes_F F_v \cong \mathbb{H}$
- $\forall v \notin \Sigma, D \otimes_F F_v \cong M_2(F_v)$

We fix a max order  $\mathcal{O}_D$  of  $D$  and on iso  $\mathcal{O}_D \otimes_{\mathbb{Z}} \hat{\mathbb{Z}} \cong M_2(\mathcal{O}_F \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}) \cong \prod_{v \notin \Sigma} M_2(\mathcal{O}_{F_v})$

hence on iso

$$(D \otimes_F A_F^\times)^\times \cong GL_2(A_F^\times)$$

take  $(\mathcal{O}_D \otimes_{\mathbb{Z}} \hat{\mathbb{Z}})^\times$  to  $GL_2(\mathcal{O}_F \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}) \cong \prod_{v \notin \Sigma} GL_2(\mathcal{O}_{F_v})$ .

Fix an open compact subgroup  $U$  of  $(\mathcal{O}_D \otimes_{\mathbb{Z}} \hat{\mathbb{Z}})^\times$ , which we identify with one of  $\prod_{v \notin \Sigma} GL_2(\mathcal{O}_{F_v})$ . We will make a precise choice of  $U$  later.

Now choose  $E/\mathbb{Q}_p$  finite with ring of integers  $\mathcal{O}$  such that  $\rho$  takes values in  $GL_2(\mathcal{O})$ , conjugating if necessary.

For any  $\mathcal{O}$ -algebra  $A$ , define

$$S_{2,\eta}(U, A) := \left\{ f: D^x \setminus (D \otimes_{\mathbb{F}} A_{\mathbb{F}}^{\infty})^x \rightarrow A \text{ s.t. such that} \right.$$

$$\left. \begin{aligned} f(guz) &= \eta(z) f(g) \text{ for all } g \in (D \otimes_{\mathbb{F}} A_{\mathbb{F}}^{\infty})^x \\ u \in U, z \in (A_{\mathbb{F}}^{\infty})^x \end{aligned} \right\}$$

Abusing notation, we again write  $\eta$  for the (finite order) character  $\eta \circ \text{Art}_F: F^x \setminus A_{\mathbb{F}}^x \rightarrow \mathcal{O}^x$

For any finite place  $v$  of  $F$  such that  $U_v = GL_2(\mathcal{O}_{F_v})$ , the double coset operators

$$T_v = [GL_2(\mathcal{O}_{F_v}) \begin{pmatrix} \varpi_v & 1 \\ & 1 \end{pmatrix} GL_2(\mathcal{O}_{F_v})]$$

$$S_v = [GL_2(\mathcal{O}_{F_v}) \begin{pmatrix} \varpi_v & & & \\ & \varpi_v & & \\ & & \varpi_v & \\ & & & \varpi_v \end{pmatrix} GL_2(\mathcal{O}_{F_v})]$$

act on  $S_{2,\eta}(U, A)$ .

Letting  $S = \{v | p \nmid v\} \cup \{v : U_v \neq GL_2(\mathcal{O}_{F_v})\}$ , we thus have an action of

$$\prod_{S, \text{unr}}^{S, \text{unr}} := \mathcal{O}[\{T_v, S_v\}_{v \in S}]$$

on  $S_{2,\eta}(U, A)$ .

(Note that  $S_v$  simply acts by  $\eta(\varpi_v)$ , so we could have omitted these operators.)

The (Jacquet-Langlands) Recall we have a fixed iso  $\mathbb{C} \cong \overline{\mathbb{Q}}$ .

We have an equality

$$\left\{ \begin{array}{l} \mathcal{O}\text{-alg homs } \lambda: \Pi_{S, \text{un}} \rightarrow \overline{\mathbb{Q}}_p \text{ s.t.} \\ \lambda \text{ is the eigensystem for a Hilbert} \\ \text{mod cusp form of parallel wt } 2, \\ \text{level } U \text{ and nebentypus } \eta \end{array} \right\} = \left\{ \begin{array}{l} \mathcal{O}\text{-alg homs } \lambda: \Pi_{S, \text{un}} \rightarrow \overline{\mathbb{Q}}_p \\ \text{s.t. } \lambda \text{ is the eigensystem for an} \\ \text{eigen form } f \in S_{2, \eta}(U, \overline{\mathbb{Q}}_p) \text{ not} \\ \text{factoring through the reduced norm of } D \end{array} \right\}$$

The Hecke eigensystems that factor through the reduced norm of  $D$  are Eisenstein, i.e. have associated Galois representations that are reducible.

It thus suffices to prove that

$$\rho \cong \rho_f \quad \text{for some } f \in S_{2, \eta}(U, \mathcal{O})$$

and we can assume that  $\bar{\rho} \cong \bar{\rho}_g$  for some  $g \in S_{2, \eta}(U, \mathcal{O})$  (enlarging  $\mathcal{O}$  if necessary).